



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|            |  |           |
|------------|--|-----------|
| Applicant  | : Hu et al.  | Art Unit: |
| Serial No. | : 09/881,404   | Examiner: |
| Filed      | : June 14, 2001  |           |
| For        | : START/STOP AUDIO ENCODER APPARATUS AND METHOD FOR<br>SYNCHRONIZING DIGITAL AUDIO AND VIDEO SIGNALS |           |

Assistant Commissioner of Patents

Washington, DC 20231

PRELIMINARY AMENDMENT TRANSMITTAL

1. X Transmitted herewith is a Preliminary Amendment including Clean Version of Amendments and Version with Markings to Show Changes Made for this application.

2.            Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

Enclosed is a verified statement to establish Small Entity status

- |          |                           |
|----------|---------------------------|
| <u>X</u> | Other than a Small Entity |
|----------|---------------------------|

3. The fee has been calculated as shown below:

| (Col. 1)                                  |    | (Col. 2)                        |    | (Col. 3) | SMALL ENTITY |     | LARGE ENTITY |     |
|---|----|---------------------------------|----|----------|--------------|-----|--------------|-----|
| Claims Remaining After Amendment          |    | Highest No. Previously Paid For |    | Extra    | Present Rate | Fee | Present Rate | Fee |
| TOTAL                                     | 50 | MINUS                           | 50 | 0        | x \$9        | \$0 | x \$18       | \$0 |
| INDEP.                                    | 4  | MINUS                           | 4  | 0        | x \$40       | \$0 | x \$80       | \$0 |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |    |                                 |    |          | +\$135       | \$0 | +\$270       | \$0 |
| TOTALS                                    |    |                                 |    |          | TOTAL FEE    | \$0 | TOTAL FEE    | \$0 |

Additional fee for claims is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on:

August 23, 2001

Thomas W. Humphrey  
Reg. No. 34,353

4.      Attached is a check in the sum of \$\_. Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a)      Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

| Extension<br>(months)                        | Fee for<br>other than<br>small entity | Fee for<br>small entity |
|--|---------------------------------------|-------------------------|
| <u>    </u> one month                        | \$ 110.00                             | \$ 55.00                |
| <u>    </u> two months                       | \$ 390.00                             | \$195.00                |
| <u>    </u> three months                     | \$ 890.00                             | \$445.00                |
| <u>    </u> four months                      | \$1,390.00                            | \$695.00                |
| <u>    </u> five months                      | \$1,890.00                            | \$945.00                |
| Extension fee due with this request \$_____. |                                       |                         |

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If an additional extension of time is required, please consider this a petition therefor. (Check and complete the next item, if applicable)

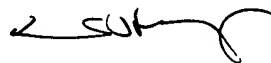
     An extension for      months has already been secured and the fee paid thereof of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$\_\_\_\_\_.

OR

(b) x Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

X If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,



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